



**HSPN & ASSOCIATES LLP
COMPANY SECRETARIES**

LLPIN: AAZ-8456 | Unique Code: L2021MHE011400
(Formerly known as HS ASSOCIATES
Unique Code: P2007MH004300)

KETAN R. SHIRWADKAR (Designated Partner)

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Secretarial compliance report of Gujarat Themis Biosyn Limited for the year ended 31st March 2022

To
The Board of Directors,
Gujarat Themis Biosyn Limited.
69-C, GIDC Industrial Estate,
Vapi Valsad, Gujarat-396195, India.

I Ketan Shirwadkar, Designated Partner of HSPN & ASSOCIATES LLP have examined:

All the documents and records made available to me and the explanation provided by Gujarat Themis Biosyn Limited (“the listed entity”),

- a) the filings/ submissions made by the listed entity to the stock exchanges,
- b) website of the listed entity,
- c) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March 2022 (“Review Period”) in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder, and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; -- **not applicable for the period under review;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

